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Steven M. Chemoff (703) 584-8670 schemoff@fcclaw.com

NOT ADMITTED IN VA



PUBLIC REFERENCE COPY

October 22, 2013

ORIGINAL

VIA HAND DELIVERY

ACCEPTED/FILED

Marlene H. Dortch Secretary Federal Communications Commission 445 12th Street, S.W., Room TW-A306 Washington, DC 20554

OCT 222013

Federal Communications Commission Office of the Secretary

Re:

Connect America Fund, WC Docket No. 10-90

Report for Services Provided in the State of Arizona

Dear Secretary Dortch:

On behalf of Smith Bagley, Inc. ("SBI"), SAC 459001, please find attached a redacted public version of Smith Bagley's FCC Form 481 Carrier Annual Report, filed pursuant to Section 54.313 of the Commission's Rules ("Form 481 Report"). The Form 481 Report has been submitted to the Universal Service Administrative Company through its E-File System, and was successfully certified on October 14, 2013. SBI's Report has also been filed with the state commission and delivered to Tribal governments as applicable. The attached Form 481 Report has been marked "REDACTED – FOR PUBLIC INSPECTION."

Smith Bagley, Inc. is also submitting to the Commission, under separate cover, a confidential version of the Form 481 Report. The confidential version is marked "CONFIDENTIAL – NOT FOR PUBLIC INSPECTION."

Please contact the undersigned at 703-584-8666 if any questions arise concerning the above-referenced enclosures or if you require any additional information.

Sincerely,

David A. LaFuria

Steven M. Chernoff

Attorneys for: Smith Bagley, Inc.

Attachment

No office code O+L

40305 Study Area Name 40305 Program Year 40306 Contact Name: Person USAC should contact with questions about this data 40306 Contact Name: Person USAC should contact with questions about this data 40307 Contact Name: Person USAC should contact with questions about this data 40308 Contact Telephone Number: 40309 Contact Email Address: 40309 Contact Email Address: 40300 Contact Email Address:	FCC FO	m 481. Garrier Ampai Recorting		
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ECC Form 481. OMB Control No. 3060-0986/OMB Control No. 3860-0819. July 2013		EX, INC CL		Annette Weidle	928-537-0690	aweidle@celluaroneaz.com	(yes / no) 🔾	O O (or/sen)	e si ynedn	Name of Attached Document (.pdf)	
10) Seffer Coulby Jugicopenign Reporting	O> Study Area Code 459001	5> Study Area Name SMITH BAGLEY,	Program Year	Contact Name - Person USAC should contact regarding this data	Contact Telephone Number - Number of person identified in data line <030	Contact Email Address - Email Address of person identified in data line <030>	Has your company received its ETC certification froi	If your answer to Line <110> is yes, do you have an existing §54.202(a) "5 1> year plan" filed with the FCC?	If your answer to Line <111> is yes, then you are required to file a progress report, on line <112> delineating the status of your company's existing § 54.202(a) "5 year plan" on file with the FCC, as it relates to your provision of voice telephony service. >> Attach Five-Year Service Quality Improvement Plan or, in subsequent years, your annual progress report filed pursuant to 47 C.F.R. § 54.313(a)(1). If your company is a CETC which only receives frozen support, your progress report is only required to address voice telephony service.	Please check these boxes below to confirm that the attached PDF, on line 112, contains a progress report on its five-year service quality improvement plan pursuant to § 54.202(a). The information shall be submitted at the wire center level or census block as appropriate.	Naps detailing progress towards meeting plan targets Report how much universal service (USF) support was received How (USF) was used to improve service quality How (USF) was used to improve service coverage How (USF) was used to improve service capacity Provide an explanation of network improvement targets not met in the prior calendar year.
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3000 0819								ê	Preventative	Procedures													
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FCC Form 691 OMB Commit No. 3060-0 July 2013								÷	Did This Outage Affect Multiple Study Areas	(n) / (n)													
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<010> Study Area Code	<015> Study Area Name	<020> Program Year	<030> Contact Name - Person USAC should contact regarding this data	<035> Contact Telephone Number - Number of person identified in data line <030>	<039> Contact Email Address - Email Address of person identified in data line <030>
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<0.10> Study Area Code	<0.15> Study Area Name SMITH BAGLEY, INC.	<030> Contact Name - Person USAC should contact regarding this data Annette Weidle	<035> Contact Telephone Number - Number of person identified in data line <030> 928-537-0690	<039> Contact Email Address - Email Address of person identified in data line <030> aveidle@celluaroneas.com	<810> Reporting Carrier Smith Bagley, Inc.	<811> Holding Company	<812> Operating Company	<813>	Affiliates													



<039> Contact Email Address - Email Address of person identified in data line <030> aveid1e@celluaroneaz.com
<035> Contact Telephone Number - Number of person identified in data line <030> 928-537-0690
<030> Contact Name - Person USAC should contact regarding this data Annette Weidle
<020> Program Year 2014
<015> Study Area Name SMITH BAGLEY, INC CL
<010> Study Area Code 459001

<910> Tribal Land(s) on which ETC Serves

Zuni Pueblo, Navajo Nation, Hopi Tribe, and White Mountain Apache Tribe.

<920> Tribal Government Engagement Obligation

459001AZ920

If your company serves Tribal lands, please select (Yes,No, NA) for each these boxes to confirm the status described on the attached PDF, on line 920, demonstrates coordination with the Tribal government pursuant to § 54.313(a)(9) includes: Needs assessment and deployment planning with a focus on Tribal Compliance with Tribal Business and Licensing requirements. Compliance with Cultural Preservation review processes Marketing services in a culturally sensitive manner; Compliance with Land Use permitting requirements Compliance with Environmental Review processes community anchor institutions; Compliance with Rights of way processes Feasibility and sustainability planning; Compliance with Facilities Siting rules <921> <924> <925> <976> <927> <826> <923>

Name of Attached Document (.pdf) (Yes,No, Select

Ź Yes Yes Yes

459001	SMITH BAGLEY, INC CL	2014	Annette Weidle	928-537-0690	aweidle@celluaroneaz.com					
<010> Study Area Code	<015> Study Area Name	ı	- 1	- 1	<039> Contact Email Address - Email Address of person identified in data line <030>	Please check this box to confirm no terrestrial backhaul <1120> options exist within the supported area pursuant to § 54.313(G)	Please check this box to confirm the reporting carrier offers broadband service of at least 1 Mbps downstream and 256 kbps upstream within the supported area pursuant to § 54.313(G)			

Collicional descriptions and second s	129001	SMITH BAGLEY, INC CL	2014	Annette Weidle	928-537-0690	aweidle@celluaroneaz.com	459001AZ1210	11 17 17 17 17 17 17 17 17 17 17 17 17 1	Name of attached document (.pdf)					
In small Considering for straining damping and the small sma		me		Contact Name - Person USAC should contact regarding this data	Contact Telephone Number - Number of person identified in data line <030>	Contact Email Address - Email Address of person identified in data line <030>	<1210> Terms & Conditions of Voice Telenhony Lifeline plans			Link to Public Website HTTP	"Please check these boxes below to confirm that the attached PDF, on line 1210, or the website listed, on line 1220, contains the required information pursuant to § 54.422(a)(2) annual reporting for ETCs receiving low-income support, carriers must annually report:	Information describing the terms and conditions of any voice telephony service plans offered to Lifeline subscribers,	Details on the number of minutes provided as part of the plan,	Additional charges for toll calls, and rates for each such plan.
		- 1	- 1	- 1		<039>	<1210> Te			\077T>	1 0 X 14 3	<1221>	<1222>	<1223> Ad

Service in the preceding calendar year.	community anchor institutions to which began providing access to broadband	contains the required information pursuant to § 54.313 (e)(3)(ii), as a recipient of CAF Phase II support shall provide the number, names, and addresses of	Please check the box to confirm that the attached PDF, on line 2021,	Study Area Code 459001	rt. High Cost support to offset access charge reductions, and Connect America Phase II id in the documents attached below is accurate.	2014 Annet to Reidle data line <0.30> aveidle@celluaroneaz.com data line <0.30> aveidle@celluaroneaz.com data line <0.30> aveidle@celluaroneaz.com fall Connect America Phase I support, frozen High Cost support fall Connect America Phase I support, frozen High Cost support S4.313{b},(c),(d),(e) the information reported on this form an GFR § 54.312{a}) 313{d}) 313{d}) 313{d}) 313{d} we, and addresses of ing access to broadband	CHECK the boxes below to note compliance as a recipient of Incremer support as set forth in 47 CFR § support as set forth in 47 CFR § support as set forth in 47 CFR § support 2nd Vear Certification (47 CFR § 54.313(b)(1)} And Vear Certification (47 CFR § 54.313(b)(1)} Price Cap Carrier Receiving Frozen Support Certification (47 C2012) 2013 Frozen Support Certification (47 CFR § 54.313(b)(2)} Price Cap Carrier Receiving Frozen Support Certification (41 CFR § 54.313(b)(2)] Price Cap Carrier Connect America ICC Support (47 CFR § 54.313(e)) Connect America Phase II Reporting (47 CFR § 54.313(e)) 31d year Broadband Service Certification (5012) Connect America Phase II Reporting (47 CFR § 54.313(e)) Sity year Broadband Service Certification (512) Connect America Phase II Reporting (47 CFR § 54.313(e)) Connect America Phase II Reporting (47 CFR § 54.313(e)) Connect America Phase II Reporting (47 CFR § 54.313(e)) Sity year Broadband Service Certification (514) Contains the required information pursuant to § 54.31 of CAF Phase II support shall provide the number, nan community anchor institutions to which began provid service in the preceding calendar year.
Please check the box to confirm that the attached PDF, on line 2021, contains the required information pursuant to § 54.313 (e)(3)(ii), as a recipient of CAF Phase II support shall provide the number, names, and addresses of community anchor institutions to which began providing access to broadband	Please check the box to confirm that the attached PDF, on line 2021, contains the required information pursuant to § 54.313 (e)(3)(ii), as a recipient of CAF Phase II support shall provide the number names and addresses.	Please check the box to confirm that the attached PDF, on line 2021,		SHI 2014 2014 2014 2014 2014 2014 2015 2014 2015 20	Ι		Interim Progress Certification
Interim Progress Certification Please check the box to confirm that the attached PDF, on line 2021, contains the required information pursuant to § 54.313 (e)(3)(ii), as a recipient of CAF Phase II support shall provide the number, names, and addresses of community anchor institutions to which began providing access to broadband	Interim Progress Certification Please check the box to confirm that the attached PDF , on line 2021, contains the required information pursuant to § 54.313 (e)(3)(ii), as a recipient of CAF Phase II support shall provide the number names and addresses of	Interim Progress Certification Please check the box to confirm that the attached PDF, on line 2021,	Interim Progress Certification	tes Name Control Boottes	1		5th year Broadband Service Certification
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<010>	Study Area Code	459001
<015>	Study Area Name	SMITH BAGLEY, INC CL
<020>	Program Year	2014
<030>	Contact Name - Pers	on USAC should contact regarding this data Annette Weidle
<035>	Contact Telephone N	lumber - Number of person identified in data line <030> 928-537-0690
<039>	Contact Email Addre	ss - Email Address of person identified in data line <030> aweidle@celluaroneaz.com

TO BE COMPLETED BY THE REPORTING CARRIER, IF THE REPORTING CARRIER IS FILING ANNUAL REPORTING ON ITS OWN BEHALF:

l certify that I am an officer of the reporting carrier; my responsibilities recipients; and, to the best of my knowledge, the information reported	include ensuring the accuracy of the annual reporting requirements for universal service support on this form and in any attachments is accurate.
Name of Reporting Carrier: SMITH BAGLEY, INC CL	
Signature of Authorized Officer: CERTIFIED ONLINE	Date
Printed name of Authorized Officer:	
Title or position of Authorized Officer:	
Telephone number of Authorized Officer:	
Study Area Code of Reporting Carrier: 459001	Filing Due Date for this form: 10/15/2013

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<010>	Study Area Code	459001
<015>	Study Area Name	SMITH BAGLEY, INC CL
<020>	Program Year	2014
<030>	Contact Name - Person US	AC should contact regarding this data Annette Weidle
:035>	Contact Telephone Number	er - Number of person identified in data line <030> 928-537-0690
039>	Contact Email Address - Er	nail Address of person identified in data line <030> aweidle@celluaroneaz.com

TO BE COMPLETED BY THE REPORTING CARRIER, IF AN AGENT IS FILING ANNUAL REPORTS ON THE CARRIER'S BEHALF:

certify that (Name of Agent) <u>Lukas. Nace. Gut ierrez & Sachs LLP</u> also certify that I am an officer of the reporting carrier; my responsibilities include ensu agent; and, to the best of my knowledge, the reports and data provided to the authorize	Is authorized to submit the information reported on behalf of the reporting carrier tring the accuracy of the annual data reporting requirements provided to the authorized d agent is accurate.
lame of Authorized Agent: Lukas, Nace, Gutierrez & Sachs LLP	
lame of Reporting Carrier: SMITH BAGLEY, INC CL	
ignature of Authorized Officer: CERTIFIED ONLINE	Date: 10/14/2013
rinted name of Authorized Officer: Justin Hinkle	
itle or position of Authorized Officer: COO	
elephone number of Authorized Officer: 9282053508	
tudy Area Code of Reporting Carrier: 459001 Filing Due D	late for this form: 10/15/2013

TO BE COMPLETED BY THE AUTHORIZED AGENT:

Certification of Agent Authorized to File Annual Reports for CAF or LI Recipients of	on Behalf of Reporting Carrier
l, as agent for the reporting carrier, certify that I am authorized to submit the annual reports for universal service support recipi the data reported herein based on data provided by the reporting carrier; and, to the best of my knowledge, the information re	ients on behalf of the reporting carrier; I have provided provided ported herein is accurate.
Name of Reporting Carrier: SMITH BAGLEY, INC CL	
Name of Authorized Agent or Employee of Agent: Lukas, Nace, Gutierrez & Sachs, LLP	
Signature of Authorized Agent or Employee of Agent: CERTIFIED ONLINE	Date: 10/14/2013
Printed name of Authorized Agent or Employee of Agent: Steven M. Chernoff	
Title or position of Authorized Agent or Employee of Agent Attorney	
Felephone number of Authorized Agent or Employee of Agent: 703-584-8670	
Study Area Code of Reporting Carrier: 459001 Filing Due Date for this form: 10/15/2013	
Persons willfully making false statements on this form can be punished by fine or forfeiture under the Communications Act of 1934, 18 of the United States Code, 18 U.S.C. § 1001.	47 U.S.C. §§ 502, 503(b), or fine or imprisonment under Title

Attachments

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FCC Form skill OMB Cantrol N Reb 2013								ŧ	Did This Outage	Study Areas	(Yes / No)															
		- CL				laroneaz.com		٥	Service Outage	Description (Check	all that apply)															
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84				g this data	Contact Telephone Number - Number of person identified in data line <030> 928-537-0690	Contact Email Address - Email Address of person identified in data line <030> aweidle@celluaroneaz.com		ŷ		Customers	Affected															
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<u>Line 310 – Unfulfilled Voice Telephony Service Requests Resolution</u>

Under Section 54.313(a)(3) of the Commission's Rules, an eligible telecommunications carrier ("ETC") is required to report "[t]he number of requests for service from potential customers within the recipient's service areas that were unfulfilled during the prior calendar year." For all such requests, an ETC must "detail how it attempted to provide service to those potential customers[.]" According to the Form 481 instructions, this description "should provide no information which is considered to be customer proprietary network information (CPNI); only the generic process should be delineated."

If a request comes from a potential customer residing in any area where Smith Bagley, Inc. ("SBI") does not provide service, SBI follows a series of steps to determine whether it can provide service at reasonable cost:

- * First, it determines whether the potential customer's equipment can be modified or replaced to provide acceptable service;
- * Second, it determines whether adjustments at the nearest cell site can be made to provide service;
- * Third, it determines whether there are any other adjustments to network or customer facilities which can be made to provide service;
- * Fourth, it explores the possibility of offering the resold service of carriers that have facilities available to that location; and
- * Fifth, SBI determines whether a whether a roof-mounted antenna or other network equipment can be deployed at the premises to provide service;

¹ 47 C.F.R. § 54.313(a)(3).

² Id.

Instructions for Completing FCC Form 481(July 2013) at p. 10.

* Sixth, SBI determines whether an additional cell site, a cell-extender, or repeater can be employed or constructed to provide service.

If there is no possibility of providing service short of these measures, SBI will notify the potential customer and report the number of requests for service that could not be filled in its next annual report filed pursuant to Section 54.313 of the Commission's Rules.

<u>Line 510 - Compliance with Service Quality Standards and</u> Consumer Protection

Smith Bagley, Inc. hereby certifies that it has reviewed its service quality and consumer protection practices, which it follows in connection with its provision of voice and broadband services, and that these practices ensure that Smith Bagley, Inc.:

- (1) Discloses rates and terms of its voice and broadband services to customers.
- (2) Makes available maps showing where voice and broadband services are generally available.
- (3) Provides contract terms to customers and confirms changes in voice or broadband service.
- (4) Allows a trial period for new voice or broadband service.
- (5) Provides specific disclosures in advertising.
- (6) Separately identifies carrier charges from taxes on billing statements.
- (7) Provides customers the right to terminate voice or broadband service for changes to contract terms.
- (8) Provides ready access to customer service.
- (9) Promptly responds to consumer inquiries and complaints received from government agencies.
- (10) Abides by policies for protection of consumer privacy.
- (11) Provides consumers with free notifications for voice, data and messaging usage, and international roaming.

These service quality and consumer protection practice categories are the same as those included in the CTIA-The Wireless Association® ("CTIA") Consumer Code for Wireless Ser-

vice ("CTIA Code" or "Code") as currently in effect. Smith Bagley, Inc. is a member of CTIA and was recently recertified by CTIA as being in compliance with the Code. A copy of the CTIA certification letter is attached.

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Steve Largent President/CEO Expanding the Wireless Frontier

June 13, 2013

Mr. Judd Hinkle Chief Operating Officer Smith Bagley, Inc. d/b/a Cellular One of NE Arizona 1500 South White Mountain Road Suite 103 Show Low, AZ 85901-7112

Dear Judd:

Congratulations! This letter is to notify you that Smith Bagley, Inc. d/b/a Cellular One of NE Arizona ("Cellular One") has completed the recertification process for the CTIA Consumer Code for Wireless Service ("Voluntary Consumer Code") for the period January 1, 2013 – December 31, 2013, and is deemed compliant with the principles, disclosures and practices set forth in the Voluntary Consumer Code. Accordingly, Cellular One is authorized to use and display the CTIA Seal of Wireless Quality/Consumer Information, subject to the terms and conditions set forth in the attached License Agreement.

Please ensure that the relevant employees of Cellular One review the License Agreement before using the Seal. Use of the Seal constitutes acceptance of these terms and conditions. Upon request, we will provide two specimens (color and black/white) of the Seal for Cellular One's use on its website or collateral materials. If you should have any questions concerning the recertification process or use of the Seal, please contact Michael Altschul, CTIA's Senior Vice President & General Counsel, at (202) 736-3248 or <a href="mailto:m

CTIA commends Cellular One for its ongoing leadership and participation in the CTIA Voluntary Consumer Code, and we look forward to continuing to work with Cellular One on this important industry initiative.

Sincerely,

Steve Largent

cc: Abbie Crozier

Conjutulations!

Attachment







Expanding the Wireless Frontier

SEAL OF WIRELESS QUALITY/CONSUMER INFORMATION

LICENSE AGREEMENT

Company is hereby granted a non-exclusive, world-wide, royalty-free license to use CTIA's Seal of Wireless Quality/Consumer Information ("Seal") to represent that Company voluntarily adopts and follows the CTIA Consumer Code for Wireless Service and has certified such to CTIA.

CTIA permits the use of appropriate references to CTIA and the Seal solely in connection with the CTIA Consumer Code for Wireless Service Program. References to the Seal shall not be misleading as to the extent of Company's voluntary support and participation in the CTIA Voluntary Code for Consumer Information program. The Seal may appear in Company's advertising, promotional material or other literature to indicate its voluntary and consistent application of the CTIA Consumer Code for Wireless Service.

Upon CTIA's acknowledgement of Company's certification, CTIA shall supply Company with a specimen of the Seal. Company shall not modify or alter the Seal without prior written permission from CTIA, and such permission shall not be unreasonably withheld. Company agrees to amend or discontinue the use of the Seal upon written request of CTIA. Company shall immediately cease use of the seal upon receipt of CTIA's written notice to do so.

Company assumes full and complete responsibility for its use of the Seal, and agrees that its use of the Seal constitutes a declaration that Company voluntarily adopts and follows the principles set forth in the CTIA Consumer Code for Wireless Service.

Use of the Seal for other purposes than those stated in this License Agreement is an unauthorized use of the Seal and is strictly prohibited.

This license may be renewed annually subject to Company's successful completion of the certification process.

Use of the Seal constitutes acceptance of these legal terms and conditions.



Line 610 - Functionality in Emergency Situations

REDACTED - FOR PUBLIC INSPECTION

THIS EXHIBIT IS SUBJECT TO SBI'S REQUEST FOR CONFIDENTIAL TREATMENT AND IS BEING WITHHELD IN ITS ENTIRETY

Smith Bagley, Inc. Line 1200 – Terms and Conditions for Lifeline Customers

VisionOne (available on Tribal lands)

Monthly Charge: \$1

Number of included minutes: 600

Per-Minute rates:

Long Distance:

.25/minute

Roaming:

.35/minute (includes toll)

Additional local minutes:

.20/minute

FreedomFone (available on non-Tribal lands)

Monthly Charge: \$1 prepaid for 12 months

Number of included minutes: 350

Per-Minute rates:

Long Distance:

.25/minute

Roaming:

.35/minute (includes toll)

Additional local minutes:

.20/minute